

01-19-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: James H. Goethel, Robert G. Bergen

Atty. Docket: L-F / 180DV

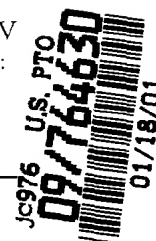
Client Ref.:

Title: Improved Syringe/Plunger Coupling

01/18/01



JC966 U.S. PTO



JC976 U.S. PTO

09/764630

01/18/01

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EL699956192US  
 Date of Deposit: January 18, 2001

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

*Ryan Cummins*  
 By: Ryan Cummins (person mailing paper)

**UTILITY PATENT APPLICATION TRANSMITTAL**

*BOX PATENT APPLICATION*  
*Assistant Commissioner for Patents*  
*Washington, D.C. 20231*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☐ Original (non-provisional) application.
- ☒ **Divisional of prior application Serial No. 09/245,229, filed on February 5, 1999.**
- ☐ Continuation of prior application Serial No. \_\_, filed on \_\_.
- ☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

For Divisional and Continuation applications ONLY:

- ☒ **Copy of Executed Declaration, Power of Attorney and Petition Form from parent application is enclosed (as noted below in Enclosures).**
- ☒ **Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.**

For all Divisional, Continuation and Continuation-in-part applications:

- ☐ A Small Entity Statement was filed in the prior application, small entity status is still proper and desired.
- ☒ **This application is assigned to Liebel-Flarsheim Company by virtue of an earlier assignment filed in the prior application at Reel 9753, Frame 0688.**

### PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.
- ☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra.	At Rate:	Amount:
Total Claims	11	20	0	\$18	\$0.00
Independent Claims	2	3	0	\$80	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$710.00
TOTAL OF ABOVE CALCULATIONS					\$710.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
<b>TOTAL</b>					<b>\$710.00</b>

### ENCLOSURES

- ☒ **Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.**
- ☒ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).**
- ☒ **Copy of Grant of Associate Power of Attorney**
- ☒ **Return Postcard.**

#### APPLICATION PAPERS

- ☒ **Utility Patent Application, with: cover sheet, 21 page(s) specification (including 11 total claim(s), of which 2 is(are) independent), and 1 page(s) abstract.**
- ☒ **Drawings: 4 sheet(s) of formal drawings (10 total figure(s)).**
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☐ An \_\_ Declaration, Power of Attorney and Petition Form.
- ☒ **Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.**
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595)
- ☐ Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 1.27.
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s)
- ☐ Other: \_\_

CHECKS

- ☒ A Check of \$710.00 for the filing fee.
- ☐ A Check of \_\_ for the assignment recording fee.

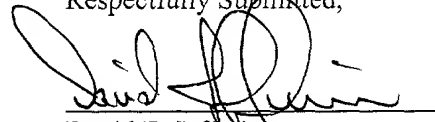
**DEPOSIT ACCOUNT AUTHORIZATION**

- ☐ Please charge Deposit Account No. 23-3000 in the amount of \_\_.
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

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DEJ/eam


Respectfully Submitted,

  
David E. Jefferies  
Reg. No. 46,800

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	James H. Goethel
	Title	IMPROVED SYRINGE/PLUNGER COUPLING
	Atty Docket Number	L-F-180DV

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1/18/01  
Date

  
Signature

David E. Jefferies  
Typed or printed name

Reg. No. 46,800

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**